



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Tomokazu KOMAZAKI, et al.

Appl. No.: 09/785,501

Confirmation No. 9075

Filed: February 20, 2001

For: BRANCHING FILTER

Art Unit: 2817

Examiner: Barbara SUMMONS

Atty. Docket No.: 32011-169878

Customer No.

26694

PATENT TRADEMARK OFFICE

Request for Acknowledgement of Previously Filed Information Disclosure Statement

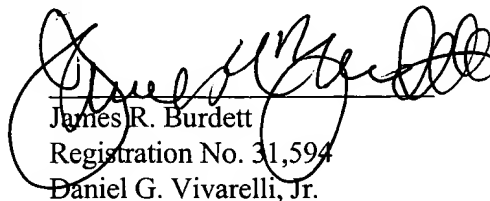
Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Sir:

Attached is a copy of our date-stamped filing receipt and copies of the Information Disclosure Statement and form PTO-1449 as filed, evidencing that the Information Disclosure Statement was filed on March 17, 2004. Please acknowledge receipt of the documents and send a corrected Notice of Allowability indicating that the Information Disclosure Statement has been received.

Date: _____

Respectfully submitted,



James R. Burdett
Registration No. 31,594

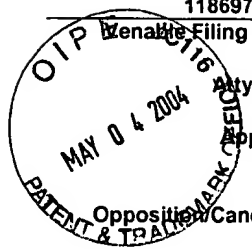
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05/06/2004 MBERHE 00000016-220261-09785501

01-FC:1806 180.00 DA

Void date: 05/06/2004 MBERHE
03/06/2004 MBERHE 00000016-220261-09785501
01-FC:1806 180.00 CR
#544048



118697

Venable Filing Number

Attorney/LAA: JRB/DGV/acr

PTO Due Date: N/A

Date Filed: March 17, 2004

TM Official Gazette Date:

Atty. Docket No: 32011-169878

Re: BRANCHING FILTER

Application No: 09/785,501

Patent No.:

Trademark:

Cancellation No:

Filing Date: February 20, 2001

Issue Date:

Trademark Reg. No:

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

U.S. PTO FEES ENCLOSED

- ☐ Transmittal Letter
☒ Fee Transmittal Letter
☐ New U.S. Provisional Application (pages of specification/claims)
☐ Formal Drawings (sheets, Figs.)
☐ Invention Declaration
☐ U.S. National Stage Application of PCT Application
☐ Translation of International Application
☐ New U.S. TM Application (specimens)
☐ Rule 53(d) Continued Prosecution Application
☐ Substitute Specification
☐ Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings & declaration*)
☐ Priority Document-Cert. Copy of Appln.#: ; Country
☐ Date Filed:
☐ Request for Continued Examination (RCE) under 37 CFR 1.114
☐ Request for Reconsideration
☐ Application Data Sheet
☐ Assignment w/Cover Sheet
☒ IDS w/ PTO SB/08 a/k/a PTO Form-1449 (5 references)
☐ Response
☐ Amendment/☐ Preliminary Amendment
☐ Petition/Request for Extension of Time (one mo. ext.)
☐ Notice of Appeal
☐ Appeal Brief (*in triplicate*)
☐ Reply Brief (*in triplicate*)/ ☐ Request for Oral Hearing
☐ Confirmation of Hearing Petition
☐ Issue Fee Transmittal
☐ Certificate of Correction
☐ Maintenance Fee Transmittal
☐ Power of Attorney
☐ Response to Notice to File Missing Parts
☐ Response to Notice to File Missing Requirements
☐ Response to Restriction Requirement
☐ Petition to Revive
☐ Sequence Listing - CDR Enclosed? ☐ Yes ☐ No
☐ Status Inquiry
☐ Request for Non-Publication
☐ Request to Rescind Non-Publication Request
☐ Terminal Disclaimer
☐ TM Statement of Use
☐ Extension of Time (mo. ext.)
☐ Declaration Under 8
☐ Declaration Under 8 and 15
☐ TM Renewal Application
☐ Notice of Opposition (*Please list all documents in Other section*)
☐ Amendment to Alleged Use
☐ Other: (*Please describe below*)

- _____ Filing Fee
_____ Surcharge Fee
_____ Additional Claim Fee
_____ Recordation/Indexing Fee
_____ IDS Fee
_____ Extension Fee
_____ Notice of Appeal Fee
_____ Brief on Appeal Fee
_____ Oral Hearing Request Fee
_____ Petition Fee
_____ Issue Fee
_____ Publication Fee
_____ Maintenance Fee
_____ TM Statement of Use
_____ 8 Affidavit Fee
_____ 8 and 15 Affidavit
_____ TM Renewal Application Fee
_____ Notice of Opposition Fee
_____ TM Extension of Time Fee

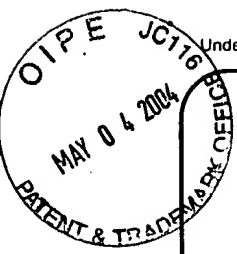


180.00 Total Fees Paid



Check Number 62330 Attached
Charge Deposit Account No. 22-0261*
☐ Yes ☒ No

*If the Deposit Account was used, was a copy of this form sent to Accounting?



Under the Paperwork Reduction Act of 1995,

persons are required to respond to a collection of information

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
as it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/785,501
Filing Date	February 20, 2001
First Named Inventor	Tomokazu KOMAZAKI
Examiner Name	Barbara Summons
Group / Art Unit	2817
Attorney Docket No.	32011-169878

TOTAL AMOUNT OF PAYMENT (\$) 180.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

22-0261

Deposit
Account
Name

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$) 0.00

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			0	0	0
			0	0	0
					0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2204	145	Multiple dependent claim, if not paid ** Reissue independent claims over original patent
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2215	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	25403	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1808	180	1808	180	Submission of Information Disclosure Stmnt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 180.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	James R. Burdett	Registration No. Attorney/Agent	31,594	Telephone	(202) 344-4000
Signature		Date	March 17, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.

VENABLE
ATTORNEYS AT LAW

PC Docs No. 531846v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Atty. Docket No.: 32011-169878

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PATENT TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(c)(2).

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

The instant Information Disclosure Statement is being filed after the mailing date of a first Office action on the merits, but before receipt of an action that closes prosecution in the application. Accordingly, pursuant to 37 C.F.R. § 1.97(c)(2), a fee of \$180.00 is attached.

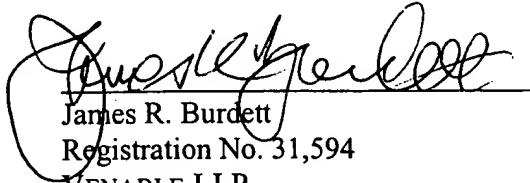
In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

If no check is attached, please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Respectfully submitted,

Date:

3/17/2004



James R. Burdett

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	09/785,501
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First Named Inventor	Tomokazu KOMAZAKI
Group Art Unit	2817
Examiner Name	Barbara Summons
Attorney Docket Number	32011-169878

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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